

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



UNITED STATES PATENT AND TRADEMARK OFFICE

BAKER BOTTS & L.L.P.
01 FEB -1 AM 10:19

TO

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,097	01/08/2001	Lloyd G. Mitchell	A31304-B-A-C

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 5648

FORMALITIES LETTER



OC00000007351890

Date Mailed: 01/24/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).


- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

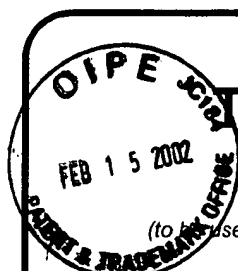
For questions regarding compliance to these requirements, please contact:

Docketed

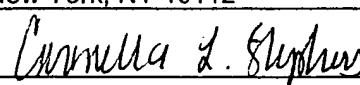
- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentln Software, call (703) 306-2600
- For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

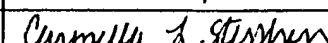
For 3/18/2002 by
11/27/2012

Please type a plus sign (+) inside this box → 

 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/756,097
	Filing Date	01/08/2001
	First Named Inventor	Mitchell
	Group Art Unit	1623
	Examiner Name	TBD
Total Number of Pages in This Submission	Attorney Docket Number	31304-B-A-C 072874.0138

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Notice to File Corrected Application Papers <input checked="" type="checkbox"/> Response to Notice under 37 CFR 1.84, 1.821-1.825	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Submission of Sequence Listing (+ sequence listing in paper and computer readable form)
Remarks <input type="text"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Carmella L. Stephens PTO Reg: 41,328
Date	December 21, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>December 21, 2001</u>	
Typed or printed name	Carmella L. Stephens
Signature	
Date	December 21, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

BAKER BOTTS L.L.P.

01 JUL 26 AM 10:25

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,097	01/08/2001	Lloyd G. Mitchell	A31304-B-A-C

CONFIRMATION NO. 5648

 21003
 BAKER & BOTTS
 30 ROCKEFELLER PLAZA
 NEW YORK, NY 10112

FORMALITIES LETTER



OC000000006330990

Date Mailed: 07/23/2001



NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

Docketed

 For 9 173 12001B
 1/73/02

31304 BAC



BAKER BOTTS L.L.P.

02 JAN -7 AM 11:07

TO

In Re:	Mitchell	09/756,097
	(Applicant)	(Serial No.)
In Re:	RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS*	December 21, 2001 31304-B-A-C 072874.0138
	(Title of Paper)	(Date) (File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

*Check for \$920

Petition for Three-Month Extension of Time

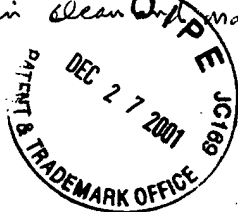
Copy of Notice to File Corrected Application Papers

Substitute Drawings

Submission of Sequence Listing (+ Sequence Listing in paper form and computer readable form) *+ specification in clean and marked forms*

Transmittal Form + Fee Transmittal Form

Return Receipt Postcard



FRIDAY DEC. 21, 2001

117

-BOX-
 ASSIGN P-62 RECORDATION, ASSIGN. #120
 ASSIGN A34431 RECORDATION #40
 ISSUE FEE A32966 ISSUE FEE #640 CM
 A34800 CLAIM PRIORITY CM
 A31503-A COMM. FOR SUB. FHL DWGS
 ISSUE FEE A31503 ISSUE FEE #1280 CM
 FEE L9497 REQ. FOR EXT. #150 CM
 31304-B-A-C RESP. NOT. FILE CORR. #920 CM
 TAB NO FEE M-7 POL. 362 MOT. ON CONSENT CM
 A31472 PCTUSA-A AMDT CM
 31304B-A-B PETITION TO REVIVE #2200 CM
 ISSUE FEE 31887-1 PUBLICATION FEE #300 CM
 A32442-1 AMDT & TRANS FORM CM
 A33085 REQ. W/ CENT OF CORR. CM
 A34651 PCTUSA-A IDS W/ PTO 1449 CM
 A33776 AMDT CM
 A34699 IDS CM



AG 33432 PCT APPLN (ET 759404769US) #2029 COL.
 DLC L11719 TM APPLN (ET 759404790US) #325
 MAF A31503-A APPLN (EF 292966013US) #1160 MONDEX
 ARUSSO A33859 PAYMENT ISSUE FEE (ET 759404786US) #460
 ARUSSO A33861 PAYMENT ISSUE FEE (ET 759404786US) #460
 PJR L9917 2nd EXT REQ. (ET 759404741US) #150 ACQUISIT.
 WHIX L9883 STATE OF USE (ET 759404741US) #100 ACQUISITION
 KJM A33408 REQUEST (ET 759404772US) #180 ELK
 RLS A34823 PCTUSA-A APPLN (EF 292966013US) #830
 JJM A34901 PCTUSA NAT PHASE (ET 759404769US) #1210
 JJM A34899 APPLN (EF 292966013US) #740 + #40 TSM
 RLS A34760 DESIGN APPLN (ET 759404738US) #330 MASTER
 AAN L10988 RESPONSE TO OA (EF 292966000US) LOCAL
 AAN L11054 RESP TO OA (EF 292966000US) LOCAL
 AAN L11280 RESP TO OA (EF 292966000US) LOCAL

MICHAEL